



Express Mail Mailing Label No. EL749106195US

PATENT  
Attorney Docket No. GPH-003J  
(6934/6)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Orbannes et al.  
SERIAL NO.: 09/782,966 GROUP NO.: 2152  
FILING DATE: February 14, 2001 EXAMINER: Not yet assigned  
TITLE: Method and Apparatus for Converting Data Objects to a Custom Format for Viewing Information in Virtual Space

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir/Madam:

In response to the Notice to File Missing Parts mailed May 1, 2001, enclosed are 2 checks in the amount of \$865.00 and \$30.00 for the payment of the basic filing fee for a small entity (\$370.00), three-month extension petition (\$460.00) and surcharge (\$65.00) for a small entity in compliance with 37 C.F.R. 1.27. Please note that also enclosed is a preliminary amendment which eliminates all excess claims not included in the filing fee. Also enclosed are the drawings for this application with the corrected margins.

Should the amounts above be incorrect, please charge Deposit Account No. 20-0531 for any deficiencies.

Respectfully submitted,

David G. Miranda  
Agent for Applicants  
Testa, Hurwitz, & Thibeault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Tel. No. (617) 248-7954  
Fax: (617) 790-0339

MIRANDDG\6934\6.2187331\_1

10 - 02 - 01

Express Mail Mailing Label No. EL749106195US

N Sector



**TRANSMITTAL  
FORM**

Application Serial Number	09/782,966
Filing Date	February 14, 2001
First Named Inventor	Orbanes
Group Art Unit	2152
Examiner Name	Not yet assigned
Attorney Docket No.	GPH-003J
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

**ENCLOSURES (check all that apply)**

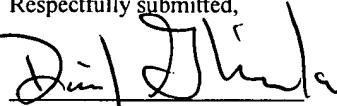
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> 2 Checks Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input checked="" type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]  <input checked="" type="checkbox"/> Petition for Extension of Time (3 months)  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input checked="" type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)  <input checked="" type="checkbox"/> Response to Notice to File Missing Parts
---	--	---

**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

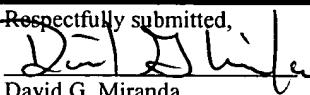
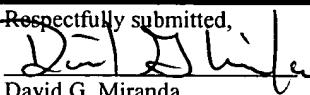
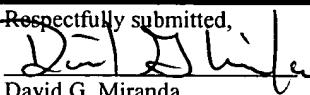
**SIGNATURE BLOCK**

Date: October 1, 2001  
 Reg. No. 42,898  
 Tel. No.: (617) 248-7954  
 Fax No.: (617) 790-0339

Respectfully submitted,  
  
 David G. Miranda  
 Agent for Applicants  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110

## Express Mail Mailing Label No. EL 749106195US

<b>Fee Transmittal</b> <b>EX 2002</b> <b>OCT 01 2001</b> <b>PC 48 32</b>		Complete if Known	
		Application Serial Number	09/782,966
		Filing Date	February 14, 2001
		First Named Inventor	Orbanes
		Group Art Unit	2152
		Examiner Name	Not Yet Assigned
		Attorney Docket No.	GPH-003J

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																																		
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> 2 Checks <input type="checkbox"/> Money Order <input type="checkbox"/> Other				3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Large Entity</th> <th style="width: 20%;">Small Entity</th> <th colspan="2" style="width: 60%;">Fee Description</th> <th style="width: 20%;">Fee Paid</th> </tr> <tr> <th>Fee (\$)</th> <th>Fee (\$)</th> <th colspan="2"></th> <th></th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td colspan="2">Surcharge - late filing fee or oath</td><td>65</td></tr> <tr><td>50</td><td>25</td><td colspan="2">Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td colspan="2">Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td colspan="2">Request for ex parte reexamination</td><td></td></tr> <tr><td>110</td><td>55</td><td colspan="2">Extension for reply within first month</td><td></td></tr> <tr><td>400</td><td>200</td><td colspan="2">Extension for reply within second month</td><td></td></tr> <tr><td>920</td><td>460</td><td colspan="2">Extension for reply within third month</td><td></td></tr> <tr><td>1440</td><td>720</td><td colspan="2">Extension for reply within fourth month</td><td>460</td></tr> <tr><td>1960</td><td>980</td><td colspan="2">Extension for reply within fifth month</td><td></td></tr> <tr><td>320</td><td>160</td><td colspan="2">Notice of Appeal</td><td></td></tr> <tr><td>320</td><td>160</td><td colspan="2">Filing a brief in support of an appeal</td><td></td></tr> <tr><td>280</td><td>140</td><td colspan="2">Request for oral hearing</td><td></td></tr> <tr><td>130</td><td>130</td><td colspan="2">Petitions to the Commissioner</td><td></td></tr> <tr><td>180</td><td>180</td><td colspan="2">Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>740</td><td>370</td><td colspan="2">Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>740</td><td>370</td><td colspan="2">For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>100</td><td>100</td><td colspan="2">Certificate of Correction for applicant's error</td><td></td></tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;">Other fee (Specify) Other fee (Specify)</td> <td colspan="4" style="text-align: center; padding: 5px;"></td> </tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;">TOTAL: 740 SMALL ENTITY DISCOUNT: 370 <b>SUBTOTAL (1) (\$)</b> 370</td> <td colspan="4" style="text-align: center; padding: 5px;"><b>SUBTOTAL (3) (\$)</b> 525</td> </tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;">Claims      Highest No.      Present      Rate      Fee Paid</td> <td colspan="4" style="text-align: center; padding: 5px;"><b>SUBTOTAL (1) (\$)</b> 370 <b>SUBTOTAL (2) (\$)</b> 0 <b>SUBTOTAL (3) (\$)</b> 525</td> </tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;">Remaining      Previously      Extra After Amend.      Paid For</td> <td colspan="4" style="text-align: center; padding: 5px;"></td> </tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;">Total      - =      x \$ 18.00 =</td> <td colspan="4" style="text-align: center; padding: 5px;"></td> </tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;">Indep.      - =      x \$ 84.00 =</td> <td colspan="4" style="text-align: center; padding: 5px;"></td> </tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;"><input type="checkbox"/> First Presentation of Multiple Dep. Claim      + \$280.00 =</td> <td colspan="4" style="text-align: center; padding: 5px;"></td> </tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;">TOTAL:      (\$) SMALL ENTITY DISCOUNT:      (\$) <b>SUBTOTAL (2) (\$)</b></td> <td colspan="4" style="text-align: center; padding: 5px;"><b>TOTAL (\$)</b> 895</td> </tr> <tr> <th colspan="4" style="text-align: left; padding: 5px;">CORRESPONDENCE ADDRESS</th> <th colspan="4" style="text-align: left; padding: 5px;">SIGNATURE BLOCK</th> </tr> <tr> <td colspan="4" style="padding: 5px;">Direct all correspondence to:  Patent Administrator Testa, Hurwitz &amp; Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100</td> <td colspan="4" style="padding: 5px;">         Respectfully submitted,            David G. Miranda          Agent for the Applicants          Testa, Hurwitz &amp; Thibeault, LLP          High Street Tower-125 High Street          Boston, MA 02110       </td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Description		Fee Paid	Fee (\$)	Fee (\$)				130	65	Surcharge - late filing fee or oath		65	50	25	Surcharge - late provisional filing fee or cover sheet			130	130	Non-English specification			2,520	2,520	Request for ex parte reexamination			110	55	Extension for reply within first month			400	200	Extension for reply within second month			920	460	Extension for reply within third month			1440	720	Extension for reply within fourth month		460	1960	980	Extension for reply within fifth month			320	160	Notice of Appeal			320	160	Filing a brief in support of an appeal			280	140	Request for oral hearing			130	130	Petitions to the Commissioner			180	180	Submission of Information Disclosure Statement			740	370	Filing a submission after final rejection (37 CFR 1.129(a))			740	370	For each additional invention to be examined (37 CFR 1.129(b))			100	100	Certificate of Correction for applicant's error			Other fee (Specify) Other fee (Specify)								TOTAL: 740 SMALL ENTITY DISCOUNT: 370 <b>SUBTOTAL (1) (\$)</b> 370				<b>SUBTOTAL (3) (\$)</b> 525				Claims      Highest No.      Present      Rate      Fee Paid				<b>SUBTOTAL (1) (\$)</b> 370 <b>SUBTOTAL (2) (\$)</b> 0 <b>SUBTOTAL (3) (\$)</b> 525				Remaining      Previously      Extra After Amend.      Paid For								Total      - =      x \$ 18.00 =								Indep.      - =      x \$ 84.00 =								<input type="checkbox"/> First Presentation of Multiple Dep. Claim      + \$280.00 =								TOTAL:      (\$) SMALL ENTITY DISCOUNT:      (\$) <b>SUBTOTAL (2) (\$)</b>				<b>TOTAL (\$)</b> 895				CORRESPONDENCE ADDRESS				SIGNATURE BLOCK				Direct all correspondence to:  Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100				Respectfully submitted,  David G. Miranda Agent for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110			
Large Entity	Small Entity	Fee Description		Fee Paid																																																																																																																																																																																		
Fee (\$)	Fee (\$)																																																																																																																																																																																					
130	65	Surcharge - late filing fee or oath		65																																																																																																																																																																																		
50	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																				
130	130	Non-English specification																																																																																																																																																																																				
2,520	2,520	Request for ex parte reexamination																																																																																																																																																																																				
110	55	Extension for reply within first month																																																																																																																																																																																				
400	200	Extension for reply within second month																																																																																																																																																																																				
920	460	Extension for reply within third month																																																																																																																																																																																				
1440	720	Extension for reply within fourth month		460																																																																																																																																																																																		
1960	980	Extension for reply within fifth month																																																																																																																																																																																				
320	160	Notice of Appeal																																																																																																																																																																																				
320	160	Filing a brief in support of an appeal																																																																																																																																																																																				
280	140	Request for oral hearing																																																																																																																																																																																				
130	130	Petitions to the Commissioner																																																																																																																																																																																				
180	180	Submission of Information Disclosure Statement																																																																																																																																																																																				
740	370	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																				
740	370	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																				
100	100	Certificate of Correction for applicant's error																																																																																																																																																																																				
Other fee (Specify) Other fee (Specify)																																																																																																																																																																																						
TOTAL: 740 SMALL ENTITY DISCOUNT: 370 <b>SUBTOTAL (1) (\$)</b> 370				<b>SUBTOTAL (3) (\$)</b> 525																																																																																																																																																																																		
Claims      Highest No.      Present      Rate      Fee Paid				<b>SUBTOTAL (1) (\$)</b> 370 <b>SUBTOTAL (2) (\$)</b> 0 <b>SUBTOTAL (3) (\$)</b> 525																																																																																																																																																																																		
Remaining      Previously      Extra After Amend.      Paid For																																																																																																																																																																																						
Total      - =      x \$ 18.00 =																																																																																																																																																																																						
Indep.      - =      x \$ 84.00 =																																																																																																																																																																																						
<input type="checkbox"/> First Presentation of Multiple Dep. Claim      + \$280.00 =																																																																																																																																																																																						
TOTAL:      (\$) SMALL ENTITY DISCOUNT:      (\$) <b>SUBTOTAL (2) (\$)</b>				<b>TOTAL (\$)</b> 895																																																																																																																																																																																		
CORRESPONDENCE ADDRESS				SIGNATURE BLOCK																																																																																																																																																																																		
Direct all correspondence to:  Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100				Respectfully submitted,  David G. Miranda Agent for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																																																																																																																		

Express Mail EL749106195US



## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,966	02/14/2001	Julian Orbanes	GPH-003J

021323  
TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

## CONFIRMATION NO. 9257

## FORMALITIES LETTER



\*OC00000006029063\*

Date Mailed: 05/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$126.
  - \$126 for 7 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 966.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/04/2001 HN00R1 00000086 09782966

01 FC:201	370.00 0P
02 FC:205	65.00 0P

*A copy of this notice **MUST** be returned with the reply.*

MM

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED - 100-101